Children with amblyaudia show less flexibility in auditory cortical entrainment to periodic non-speech sounds

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ABSTRACT
Objective: We investigated auditory temporal processing in children with amblyaudia (AMB), a subtype of auditory processing disorder (APD), via cortical neural entrainment.

Design and study samples: Evoked responses were recorded to click-trains at slow vs. fast (8.5 vs. 14.9/s) rates in n = 14 children with AMB and n = 11 age-matched controls. Source and time–frequency analyses (TFA) decomposed EEGs into oscillations (reflecting neural entrainment) stemming from bilateral auditory cortex.

Results: Phase-locking strength in AMB depended critically on the speed of auditory stimuli. In contrast to age-matched peers, AMB responses were largely insensitive to rate manipulations. This rate resistance occurred regardless of the ear of presentation and in both cortical hemispheres.

Conclusions: Children with AMB show less rate-related changes in auditory cortical entrainment. In addition to reduced capacity to integrate information between the ears, we identify more rigid tagging of auditory processing deficits commonly observed in AMB and related APDs behaviourally. More broadly, our findings may inform communication strategies and future rehabilitation programmes; increasing the rate of stimuli may inform communication strategies and future rehabilitation programmes; increasing the rate of stimuli above a normal (slow) speech rate is likely to make stimulus processing more challenging for individuals with AMB/APD.

Introduction
Auditory temporal processing refers to the detection, identification, integration, and segregation of sound events over time (Picton 2013). Temporal processing impairments are observed in a variety of patient populations, such as schizophrenia (Luthra 2021), Parkinson’s disease (Grondin 2010), attention-deficit/hyperactivity disorder (ADHD) (Toplak, Dockstader, and Tannock 2006), and dyslexia (Tallal 1980). Some have suggested that difficulties “hearing in time” might present in individuals with auditory processing disorder (APD), where temporal processing deficits could manifest as poorer auditory perceptual abilities (Tallal 1980; Picton 2013; Kopp-Scheinpfug and Tempel 2015). However, while there is growing evidence (Chowisipa, Bamiou, and Koohi 2021), temporal processing is an often suggested but still unproven hypothesis of APDs and remains controversial (Merzenich et al. 1996; Zhang and Tomblin 1998; Dawes et al. 2009; Billiet and Bellis 2011).

APD itself is characterized by symptoms of hearing difficulty without deficits in pure tone peripheral sensitivity, per se. It likely comprises at least 5% of clinical hearing referrals (Moore 2006). While not observed in all cases nor a sole deficit (Dawes et al. 2009), several reports have documented temporal processing issues in APD populations (Tallal, Sainburg, and Jernigan 1991; Kopp-Scheinpfug and Tempel 2015). Indeed, most clinical APD batteries explicitly test a broad spectrum of temporal processing skills suggesting these faculties might be important in describing at least some forms of APDs. Presumably, temporal processing deficits during childhood could negatively affect speech-language acquisition, which relies heavily on the accurate encoding of fine timing information in sound (Picton 2013). This has led to the use of rhythm and time synchronization paradigms in rehabilitative and therapeutic approaches (Grondin 2010) to improve both basic auditory and more general cognitive abilities such as attention and memory (Tallal 1980; Picton 2013). Nevertheless, due to the heterogeneity of APD, there might be a range of different temporal difficulties which span both speech and non-speech domains and might manifest differently for more/less challenging stimuli (slow vs. faster rate sounds).

AMB is identified by abnormally asymmetric results from dichotic listening (DL) tests that may stem from genetic factors (Morell et al. 2007) or from periods of auditory deprivation during early critical periods of brain development (Whitton and Polley 2011). Asymmetric DL has been attributed to poor inter-hemispheric connectivity (Musiek and Weihing 2011; Momtaz, Moncrieff, and Bidelman 2021) or to weaknesses in directing attention to complex auditory stimuli (Tallus et al. 2015). While there is an undisputed role of attention in DL results (Hiscock, Inch, and Kinsbourne 1999; Alho et al. 2012), an abnormal asymmetry may also reflect an excitatory–inhibitory imbalance within early-stage sensory filters as proposed by Broadbent...
Non-speech sounds. Entrainment is defined as the brain adaptability to fast vs. slow stimulus rates) in AMB vs. WNL to directly compare the flexibility in temporal processing (i.e. auditory entrainment and spectrotemporal details of the EEG. To this end, we recorded multichannel EEGs in children diagnosed with AMB and their age-matched peers in response to rapid non-speech stimuli. We measured neural oscillatory activity extracted from the left and right auditory cortex to assess auditory entrainment and spectrotemporal details of the EEG. Varying the rate of stimulus presentation (slow vs. fast) allowed us to directly compare the flexibility in temporal processing (i.e. adaptability to fast vs. slow stimulus rates) in AMB vs. WNL children. One rate was comparable to that found in normal speech [i.e. published data from Momtaz, Moncrieff, and Bidelman (2021)], while the other exceeded what might be expected of typical production (Assaneo and Poeppel 2018). However, our use of non-speech stimuli allowed us to characterize whether AMBs showed differences in domain-general auditory temporal processing devoid of lexical-semantic confounds carried in the speech stimuli used in most DL tasks (Alho et al. 2012; Moore et al. 2020). Our findings show that while WNL children easily entrain to rapid auditory stimuli, children with AMB are largely insensitive to changes in rate. Our data reveal a new AMB deficit in general auditory-sensory processing (i.e. neural tagging of sounds) independent of attention and linguistic processing.

**Materials and methods**

**Participants**

The sample included \( n = 25 \) native English-speaking children (9–12 years) who were classified into two groups (WNL: \( n = 11 \), amblyaudia [AMB; \( n = 14 \)]) based on their behavioral scores on DL tests. Groups were similar in age (AMB: 10.1 ± 1.7 years, WNL: 10.8 ± 1.1 years, \( t_{23} = -1.48, p = 0.15 \)) and gender (AMB: 10/4 male/female; WNL 7/7 male/female; Fisher exact test, \( p = 1 \)). None had a history of neurological impairment, head injury, chronic disease, or hearing loss (<25 dB HL screened from 500 to 4000 Hz; octave frequencies). They were recruited from APD evaluation clinic referrals and flyers distributed throughout the community. Participants’ parents gave written informed consent in compliance with a protocol approved by the Institutional Review Board at the University of Pittsburgh.

**Behavioural evaluation**

Children who produced abnormal scores (<10th percentile from normative data) consistent with AMB (low non-dominant ear and large asymmetry) on at least two of three dichotic tests (Randomised Dichotic Digits Test [RDDT]; Strouse and Wilson 1999; Moncrieff and Wilson 2009; Dichotic Words Test; Moncrieff 2015; competing words subtest from the SCAN-G; Keith 1986; presented through earphones at 50 dB HL in a sound-treated room) were placed into the AMB group. Details of the behavioral evaluation are reported in Momtaz, Moncrieff, and Bidelman (2021) and supplemental. Children with normal scores on at least two of the dichotic tests were placed into the WNL group. Scores from a parent checklist of listening difficulties were significantly poorer among the AMB children for listening related to integration \( [F(1,26)=5.09, p=0.033] \) and prosody \( [F(1,26)=13.74, p<0.001] \). One child in the AMB group had a diagnosis of mixed language disorder and second demonstrated reading difficulties but had not been diagnosed with a reading disorder. None in the WNL group had been diagnosed with any disorder.

For each test, right and left ear scores were converted to dominant and non-dominant so that the difference in performance between ears (reflecting interaural asymmetry) remained positive. AMB is distinguished by an abnormally large interaural asymmetry and is diagnosed when at least two DL tests indicate greater than average interaural asymmetry.

Groups well separated in terms of their DL performance (Figure 1). Most listeners showed a REA as measured by their ear advantage scores (i.e. difference in behavioral performance between non-dominant and dominant ears). However, children

![Figure 1. Group comparison of behavioral ear advantage scores in dichotic listening tests. (A) Dichotic words (DW) test. (B) Competing words (CW) test. (C) Random Dichotic Digits Test (RDDT). AMBs show stronger ear advantage scores (i.e. larger asymmetry) for all three tasks. Solid lines denote normal curve fits. AMB: amblyaudia; WNL: within normal limit. Error bars = ±1 s.e.m. *<i>p</i> < 0.05, **<i>p</i> < 0.01.](Image 50x648 to 290x740)
with AMB showed larger ear advantages compared to WNL listeners in all three dichotic tests including DW [$t_{54} = 2.67$, $p = 0.013$], CW [$t_{52} = 2.14$, $p = 0.044$], and RDdT [$t_{54} = 2.55$, $p = 0.017$]. These findings confirm a large interaural asymmetry in AMB compared to the WNL group (Moncrieff et al. 2016).

**EEG recording procedure**

**Stimuli**

Neural responses were elicited by click trains presented at two different rates. Individual clicks were 385 µs biphasic pulses. Stimuli were presented monaurally (passive listening) at 70 dB nHL via ER-3A insert earphones. Two different presentation rates (i.e. interstimulus intervals) were used: slow (8.5/s) and fast (14.9/s). A total of 1000 sweeps were collected per condition.

**EEG recording**

Data recording and analysis were identical to our previous study on AMB and neural oscillations (Momtaz, Moncrieff, and Bidelman 2021). Briefly, EEGs were recorded from 64 electrodes at 10–20 scalp locations (Oostenveld and Praamstra 2001). Electrode impedances were <5 kΩ. EEGs were digitized using Neuroscan Synamp² amplifiers at 10Hz. Data were re-referenced to the common average offline for analysis. Continuous EEGs were processed in BESA Research 7.0 (BESA, GmbH, Gräfelfing, Germany). Recordings were epoched [−10–56 ms] into single trials, bandpass filtered (10–2000 Hz), and baseline corrected to the pre-stimulus interval per trial. Prior to TFA, we rejected artifact trials exceeding ±500 µV and those with a >75 µV amplitude gradient between consecutive samples. This resulted in 877–1000 artifact-free trials. Critically, trial counts did not differ between groups for either left ($t_{48} = -0.32$, $p = 0.74$) or right ($t_{54} = 0.74$, $p = 0.46$) ear recordings, nor for fast ($t_{47} = 1.11$, $p = 0.26$) vs. slow ($t_{47} = -0.83$, $p = 0.40$) stimulus rates indicating similar overall signal-to-noise ratio.

**EEG source and time-frequency analysis**

Single-trial scalp potentials were transformed into source space using BESA’s Auditory Evoked Potential (AEP) source montage (Bidelman and Monctaz 2021). This dipole model contains regional sources in bilateral AC [Talairach coordinates $(x,y,z)$ in mm]: $left = (-37, -18, 17)$ and $right = (37, -18, 17)$. We extracted and averaged the time courses from the radial and tangential dipoles as these orientations capture the majority of variance describing the auditory cortical ERPs (Picton et al. 1999). This approach reduced the 64-channel data to two source dipole channels localizing current activity in left and right AC (Momtaz, Moncrieff, and Bidelman 2021). Single-trial source activity was then submitted to TFA.

The TFA transformation was computed using a sliding window analysis on each epoch (complex demodulation; Papp and Ktonas 1977) in 20 ms/2.5 Hz resolution step sizes (10–80 Hz bandwidth). We then computed inter-trial phase-locking (ITPL) (Lachaux et al. 1999) at each time–frequency point across single trials (Momtaz, Moncrieff, and Bidelman 2021). ITPL maps reflect the change in neural synchronization ($0 = $) relative to baseline ($−10$ to $0$ ms) (Bidelman 2015). Note that ITPL is invariant to amplitude (it depends only on trial phase consistency) rendering it impervious to amplitude scaling inaccuracies that might emerge from our use of adult head templates for source analysis (Monctaz, Moncrieff, and Bidelman 2021). Oscillation responses are most prominent to click train stimuli near the ~33 Hz band of the EEG (Momtaz, Moncrieff, and Bidelman 2021). Hence, we extracted the time course of the high-beta/low-gamma frequency band (33 Hz) from each ITPL spectrogram (Supplemental Figure S1). We then measured the peak ITPL strength and latency from each band time course to quantify group effects per hemispheric source, ear, and rate of presentation.

**Statistical analysis**

We used $2 \times 2 \times 2 \times 2$ random effects rank-based (robust) ANOVAs ($R^2$ version 4.0.3; R Foundation for Statistical Computing; Vienna, Austria) and the lme4 (Bates et al. 2015) and robustlmm (Koller 2016) R packages (R Core Team 2020) to assess latency and ITPL strength differences in $\beta$-band responses. Note this package reports omnibus ANOVA results as $t$- (rather than $F$-) values. Fixed factors included group (2 levels: WNL, AMB ear (2 levels: LE and RE), hemispheres (2 levels: LH and RH), and rate of presentation (2 levels: fast and slow); subjects served as a random effect. The dependent variables were minimally truncated and skewed so we elected to use a robust approach to account for the distribution of these variables. However, we
note that standard parametric, mixed-model ANOVAs (lme4 package, R) yielded identical results (data not shown). Backward model selection was used to arrive at the most parsimonious model. For example, if the highest order interaction term was significant, all lower-ordered interaction terms and main effects were retained in the model. If the highest-order interaction term was insignificant, it was removed, and the next highest-ordered interaction term(s) were then considered. To examine significant interactions, we stratified by the different covariates within the interaction term. The significance level was set at $\alpha = 0.05$.

We used correlations (Spearman’s $\rho$) to evaluate relationships between neural oscillations (i.e. slow vs. fast entrainment) and behavior (i.e. DL scores). For these analyses, a laterality index for the neural measures was computed as the difference in peak ITPL between ears (i.e. laterality $= \text{ITPL}_{\text{RE}} - \text{ITPL}_{\text{LE}}$) (Jerger and Martin 2004; Momtaz, Moncrieff, and Bidelman 2021). LH and RH responses were averaged given the lack of hemisphere effect in the omnibus ANOVAs. Neural laterality was then regressed against listeners’ three different ear advantage scores (per RDDT, DWT, and CW test), computed as the difference in behavioral performance between their dominant and non-dominant ears.

**Results**

A detailed analysis of the behavioral data is reported in Momtaz, Moncrieff, and Bidelman (2021). Here, we focus on new rate effects in neural entrainment in children with AMB.

**EEG time–frequency data**

Figure 2 shows ITPL spectral maps across ear, rate, group, and hemispheres. In our backward model selection, neither the main nor interaction effects occurred for latency measures. However, ITPL in the $\beta$ frequency band was strongly modulated by stimulus rate, ear of presentation, hemisphere, and group, but within several two-way interactions. Our final model using ranked-based (robust) ANOVA on ITPL was: $\text{ITPL}_{\text{amp}} \sim \text{rate} + \text{group} + \text{ear} + \text{hemi} + \text{rate*group} + \text{ear*hemi} + \text{group*hemi} + (1|\text{subject})$. This model revealed significant two-way interactions on neural oscillation strength including rate $\times$ group [$t_{169} = -3.76, p = 0.0002$, ear $\times$ hemisphere [$t_{169} = -1.99, p = 0.04$], and group $\times$ hemisphere [$t_{169} = 3.27, p = 0.001$] (Figure 3). No other higher-order interaction terms were significant. To understand the components of these interactions, we examined post-hoc tests for each factor in each two-way term, collapsing across the other remaining factors that were not significant in the respective bivariate interaction.

Focussing first on the rate $\times$ group interaction (averaging over levels of ear, hemisphere; Figure 3(A)), we found stronger ITPL strength in the fast compared to the slow rate for the WNL group ($p < 0.0001$) but not for the AMB group ($p = 0.3819$). This suggests that regardless of ear and hemisphere, AMBs showed less flexibility in neural entrainment to changes in stimulus rate.

Focussing next on the ear $\times$ hemisphere interaction (averaging over levels of rate and group; Figure 3(B)), we found stronger ITPL strength for RE compared to LE presentation in LH responses ($p = 0.0159$). No ear effect was observed in RH ($p = 0.6821$). These findings suggest neural responses in LH were overall stronger for right vs. left ear presentation regardless of stimulus rate and group.

We next focussed on the group $\times$ hemisphere interaction (averaging over levels of rate and ear; Figure 3(C)). The WNL group showed stronger ITPL responses in the RH compared to LH ($p = 0.0071$). In contrast, this hemispheric effect was not significant in the AMB group ($p = 0.058$). These findings suggest a differential pattern of neural entrainment in AMBs vs. WNL listeners with regard to hemisphere.

**Brain-behaviour correlations**

The correspondence between neural ear laterality (at slow and fast rates) and all three behavioral ear advantage scores was evaluated using correlational analysis. We previously found that the degree of ear asymmetry in neural oscillation strength to slow
(8.5/s) rate auditory stimuli were associated with behavioral performance on the DW test (Momtaz, Moncrieff, and Bidelman 2021). However, for the fast rate (14.9/s) stimuli in this study, we did not find a relationship between the degree of ear asymmetry in neural oscillation strength and any of the three DL tests (all ps > 0.199).

Discussion
Extending our prior work on the brain basis of DL deficits, we show differences in phase-locked neural oscillations among AMB children that depend critically on the speed of auditory stimuli. In contrast to WNL children whose neural entrainment was sensitive to rate, AMB children showed responses that were largely insensitive to rate manipulations. This resistance to rate was observed regardless of the ear of presentation and in both cortical hemispheres. Our data imply that in addition to any deficits in cognition, language, or more global issues (McFarland and Cacace 2009; Moore et al. 2010), AMB is characterized by a varying capacity in how the brain temporally tags rapid auditory stimuli. Thus, in addition to a reduced capacity to integrate information between the ears (Momtaz, Moncrieff, and Bidelman 2021), AMB appears characterized by functional deficits in temporal processing in the form of less flexibility (more rigidity) in how the auditory system passively entrains to external sounds.

Neural entrainment differs based on the ear of presentation and hemispheres regardless of rate and group
We should note that ITPL values reported herein are notably smaller (roughly order of magnitude) in this compared to other auditory EEG studies. At least three reasons might account for lower values in our data. First, prior work assessing phase-locked neural oscillations via EEG overwhelmingly used scalp (electrode-level) signals rather than dipole source current waveforms as used here. While ITPL is robust to subtle fluctuations in amplitude (Cohen 2014; p. 251), source signals are considerably smaller, resulting in ITPL values that are roughly × 10 lower than what is observed for scalp data (Bidelman 2018; Hämäläinen, Ortiz-Manilla, and Benasich 2019). Second, our source responses reflect phase-locked activity localized to left/right auditory cortex, and do not measure phase-locked activity that could entrain to our periodic stimuli in other brain areas (e.g. brainstem and non-auditory regions) (Herdman et al. 2002; Bidelman 2018; Lerousseau et al. 2021). Lastly, ITPL magnitudes depend strongly on trial counts (Cohen 2014; p. 346), with values decreasing 10-fold over the course of the first ∼500 stimulus presentations. Typical ERP studies employ <100–200 trials resulting in what are large (likely overinflated) estimates of ITPL. In contrast, very high (1000+) trial counts produce ITPLs in the range of 0–0.1 (Cohen 2014; p. 346), comparable to what we observe in our data.

Our results show that right ear stimulus presentation produced stronger neural entrainment in the LH regardless of group, whereas no ear-effect was observed in RH responses. Clicks are fast onset stimuli which might evoke stronger LH recruitment comparable to rapid features of speech (e.g. consonants). This pattern is also expected given the crossed nature of the auditory neuroanatomy which leads to the typical REA and dominance in the contralateral pathway from RE to LH. Larger neural responses for the dominant contralateral auditory pathway (Jerger and Martin 2004) regardless of stimulus properties confirm the advantage of the contralateral over the ipsilateral pathway as posited by the structural model of auditory processing (Kimura 1967). The REA results in an interaural asymmetry of the contralateral auditory pathway that is biased to the right ear in 75–80% of right-handed and 60% of left-handed individuals, respectively (Kimura 1967). Indeed, the majority (∼80%) of our AMB listeners were RE dominant when assessed by DL tests composed of linguistic materials. The fact that we find a similar REA for click entrainment suggests the REA may occur irrespective of stimulus nature (i.e. for both linguistic and non-linguistic stimuli). This finding gives credence to a “low-level deficit” account of AMB (Momtaz, Moncrieff, and Bidelman 2021) that is sensory in nature. By extension, such auditory-sensory deficits are unlikely to be acquired late (e.g. during speech development) and could originate or at least be exacerbated by early insults to hearing during infancy (e.g. chronic conductive hearing loss) (Wilmington, Gray, and Jahrsdoerfer 1994; Whitton and Polley 2011). Here, we assume that the LH is more sensitive to the inputs of the dominant right ear and hence produces a larger response when the stimuli are presented to the RE as opposed to the LE. Whereas the RH mainly receives the input from the non-dominant ear and hence would not be as flexible to the inputs of different ears.

Neural entrainment in AMB is rate insensitive
Extending our prior study (Momtaz, Moncrieff, and Bidelman 2021), we found that changing the speed of auditory stimulation reveals a fundamentally different pattern in neural phase-locking between AMB and WNL children that is rate-dependent. Whereas control children showed stronger ITPL strength at fast vs. slow click rates, ITPL strength was surprisingly invariant in children with AMB. Though our data reveal a rate (in)sensitivity in AMB, future studies could explore this further by evaluating more extreme rates (slower and faster) than those used here to map a rate sensitivity profile (i.e. input/output function).

Differences in the resonant frequency of rhythmic entrainment (Baltus and Herrmann 2016) could explain these group differences in the ability to entrain to rapid sounds. The precise interplay of neural excitation/inhibition can generate oscillations at a γ-band frequency that is dependent on stimulus parameters such as rate (Baltus and Herrmann 2016). Therefore, higher stimulation rates may drive activation and boost γ oscillations, which could explain the increment in γ responses for fast vs. slow rates we find in WNLs. On the other hand, the lower ITPL strength in AMBs at faster rates could be a neural correlate of the temporal processing deficits observed in some APDs and dyslexia (ASHA. 1996; Bellis 2011; Granados Barbero et al. 2021; Momtaz, Moncrieff, and Bidelman 2021). Alternatively, weaker γ responses might also be attributed to poorer perceptual-cognitive processes for rapid stimuli (Başar-Eroğlu et al. 1996), which is also consistent with the dichotic and other listening difficulties observed in AMB (Momtaz, Moncrieff, and Bidelman 2021). Less robust entrainment in AMBs at faster rates also implies poorer temporal resolution in auditory processing. Interestingly, optimizing stimulus presentation rates shows promise in improving aspects of auditory processing (Baltus and Herrmann 2016). Therefore, tuning external stimulus delivery to the preferred (characteristic) internal entrainment clock (which seems less flexible in AMB) might help increase the coupling between acoustic features and brain activity, ultimately leading to better and perhaps less burdensome auditory processing (e.g. Merzenich et al. 1996).
Across languages, the speed of conversational speech (i.e. syllable rate) unfolds at a near-universal rate between 2 and 8 Hz (Poeppel and Assaneo 2020). Auditory cortical activity (Giraud et al. 2000), psychophysical performance (Viemeister 1979), and speech comprehension (Versfeld and Dreschler 2002) decline rapidly for modulations outside this range. Thus, both speech acoustics and auditory perception are bound by a common, fundamental upper limit of ~8–10 Hz. Non-speech aside, our fast (14.9/s), and slow (8.5/s) rate stimuli might therefore be described as straddling this critical acoustic-perceptual boundary that constrains auditory temporal processing. In this regard, it is tempting to suggest that the AMB group’s stronger responses at slower rates (Figure 3(A) here; Momtaz, Moncrief, and Bidelman 2021) might reflect the fact these stimuli are paced at the normal speech-like rate listeners are exposed to in their everyday environment. In contrast, they show inflexibility to entrain to higher (non-speech) rates where their normally developing peers show robust phase-locking. These findings parallel other electrophysiological studies showing listeners with more adept hearing skills (cf. WNL in this study) better track not only acoustic but also temporal-structural (i.e. nonspeech) cues to speech (cf. Poeppel and Assaneo 2020). Future studies could test this hypothesis by parametrically varying, for example, time-compressed speech.

Our stimuli were also limited to periodic signals. It is conceivable that individuals with AMB might also have difficulties nimbly switching between periodic and aperiodic acoustic events, as is characteristic of speech. Nevertheless, it should be emphasized that these limits were observed using passively evoked, non-speech, repetitive click stimuli. Consequently, our data expose an AMB deficit in general auditory-sensory processing (i.e. neural tagging of sounds) that appears independent of attention and linguistic processing. Still, future studies comparing non-speech vs. speech stimulus presentation are needed to test these possibilities and verify whether deficits in AMB’s “internal entrainment clocking mechanism” is also observed for speech/linguistic materials and tasks which tap attentional processing.

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Author contributions
D.W.M. designed the experiment and collected the data; S.M. and M.A.R. analysed the data; all authors wrote the article.

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